



|  |  |  |  |                                     |  |  |  |                       |  |  |
|--|--|--|--|-------------------------------------|--|--|--|-----------------------|--|--|
| INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary) |  |  |  | ATTY. DOCKET NO. PC23199A           |  |  |  | SERIAL NO. 10/636,013 |  |  |
|  |  |  |  | APPLICANT Steven W. Collier, et al. |  |  |  |                       |  |  |
|  |  |  |  | FILING DATE August 6, 2003          |  |  |  | GROUP 1616            |  |  |

### U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER |   |   |   |   |   |   |   | DATE     | NAME             | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|---|---|---|---|---|---|---|----------|------------------|-------|----------|----------------------------|
| lll              | US              | 4 | 4 | 7 | 4 | 7 | 6 | 8 | 10/2/84  | Bright           | 424   | 180      |                            |
|                  | US              | 6 | 3 | 6 | 5 | 5 | 7 | 4 | 4/2/02   | Singer, et al.   | 514   | 29       |                            |
|                  | US              | 6 | 2 | 4 | 5 | 9 | 0 | 3 | 6/12/01  | Karimian, et al. | 536   | 7.4      |                            |
|                  | US              | 6 | 2 | 6 | 8 | 4 | 8 | 9 | 7/31/01  | Allen, et al.    | 536   | 7.4      |                            |
|                  | US              | 4 | 9 | 6 | 3 | 5 | 3 | 1 | 10/16/90 | Remington        | 514   | 29       |                            |
| lll              | US              | 5 | 6 | 0 | 5 | 8 | 8 | 9 | 2/25/97  | Curatolo, et al. | 514   | 29       |                            |

### FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | WO | DOCUMENT NUMBER |   |   |   |   |   |   |          | DATE          | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|------------------|----|-----------------|---|---|---|---|---|---|----------|---------------|---------|-------|----------|-------------|----|
|                  |    | 0               | 4 | 0 | 0 | 8 | 6 | 5 | 12/31/03 |               |         |       |          | YES         | NO |
| lll              | WO | 0               | 4 | 0 | 0 | 8 | 6 | 5 | 12/31/03 | International | C07H    | 17/08 |          |             |    |
| lll              | WO | 0               | 0 | 5 | 7 | 8 | 8 | 6 | 5/10/00  | International | A61K    | 31/70 | X        |             |    |

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|     |  |
|-----|--|
| lll | Zheng Li, et al., U.S. Patent Application Serial Number (USSN) 10/152,106, Publication No. 2003-0162730A1, published on 8/28/03  |
| lll | Ofner, C., et al., "Suspensions", <i>FMC BioPolymer</i> , Section 9, pp.1-22 (2000)  |
| lll | Dell, S., et al., "Avicel® RC/CL, Microcrystalline Cellulose and Carboxymethylcellulose Sodium, NF, BP", <i>FMC BioPolymer</i> , Section 14. pp. 1-27 (2001)   |
| lll | Weissbuch, I., et al., "Understanding and Control of Nucleation, Growth, Habit, Dissolution and Structure of Two- and Three-Dimensional Crystals Using 'Tailor-Made' Auxiliaries", <i>Acta Cryst.</i> , Vol. B51, pp. 115-148 (1995) |
| lll | EBian, A., et al., "Effect of additives on the kinetics of interconversion of sulphamethoxydiazine crystal forms", <i>J. Pharm. Pharmac.</i> , Vol. 25, pp. 13-20 (1973)   |
| lll | Miyazaki, S., "Effect of Additives on the Polymorphic Transformation of Chlortetracycline Hydrochloride Crystals", <i>Chem. Pharm. Bull.</i> , Vo. 24(9), pp. 2094-2101 (1976)   |
| lll | Ziller, K.H., et al., "Control of Crystal Growth in Drug Suspensions", <i>Pharm. Ztg. Wiss.</i> , NR. 4-3/135. pp. 147-152 (1990)  |
| lll | Shefter, E., "Dissolution Behavior of Crystalline Solvated and Nonsolvated Forms of Some Pharmaceuticals", <i>Journal of Pharmaceutical Sciences</i> , Vol. 52., No. 8, pp. 781-791 (1963)   |
| lll | Otsuka, M., et al., "Effect of binders on polymorphic transformation kinetics of carbamazepine in aqueous solution", <i>Colloids and Surfaces B: Biointerfaces</i> , Vol. 17, pp. 145-152 (2000)                                     |
| lll | Horn, D., et al., "Interaction between polyvinylpyrrolidone and drugs: an appraisal of its significance for GI drug absorption", <i>Haupilaboratorium der BASF Aktiengesellschaft</i> , D-6700, pp. 80-100                           |

1/21/06

|  |  |                                     |                       |
|--|--|-------------------------------------|-----------------------|
| INFORMATION DISCLOSURE CITATION<br><i>(Use several sheets if necessary)</i>  |  | ATTY. DOCKET NO. PC23199A           | SERIAL NO. 10/636,013 |
|  |  | APPLICANT Steven W. Collier, et al. |                       |
|  |  | FILING DATE August 6, 2003          | GROUP 1616            |
| <p>10/10/03</p> <p>Johnson, B., et al., "Effects of Surfactants and Polymers on Advancing and Receding Contact Angles", <i>Colloids and Surfaces</i>, Vol. 17, pp. 325-342 (1986)</p> <p>10/10/03</p> <p>Elworthy, P., et al., "The Surface Tension of Sodium Dodecylsulfate Solutions and the Phase Separation Model of Michelle Mofmation", <i>Journal of Colloid and Interface Science</i>, Vol. 21, pp. 331-347 (1966)</p> <p>10/10/03</p> <p>Askar, A., "A Review on Sweeteners", <i>Nutrition</i>, Vol. 12, Nr. 11, pp. 706-712 (1988)</p> <p>10/10/03</p> <p>Parsons, D., "Carboxymethylcellulose Sodium", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 87-90</p> <p>10/10/03</p> <p>Goskonda, S.R., et al., "Cellulose Acetate Phthalate", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 99-101</p> <p>10/10/03</p> <p>Malick, A.W., "Docusate Sodium", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 188-190</p> <p>10/10/03</p> <p>Hogan, J.F., "Coloring Agents", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 146-153</p> <p>10/10/03</p> <p>Harwood, R.J., "Hydroxypropyl Cellulose", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 244-248</p> <p>10/10/03</p> <p>Harwood, R.J., "Hydroxypropyl Methylcellulose", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 252-255</p> <p>10/10/03</p> <p>Kibbe, A.H., "Povidone", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 433-439</p> <p>10/10/03</p> <p>Russell, G., et al., "Saccharin", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 454-456</p> <p>10/10/03</p> <p>Russell, G., et al., "Saccharine Sodium", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 457-459</p> <p>10/10/03</p> <p>Behn, S., "Sodium Lauryl Sulfate", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 487-489</p> <p>10/10/03</p> <p>Behn, S., "Sodium Phosphate, Dibasic", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, Sections 12-19, p.494</p> <p>10/10/03</p> <p>Armstrong, N.A., et al., "Sucrose", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 539-543</p> <p>10/10/03</p> <p>Daskalakis, S.A., "Xanthan Gum", <i>Handbook of Pharmaceutical Excipients</i>, 3<sup>rd</sup> Edition, pp. 599-601</p> <p>10/10/03</p> <p><i>Handbook of Pharmaceutical Additives</i>, pp. 120; 214; 351; 484-485; 557; 658; 610; 639; 713-714</p> |  |                                     |                       |
| EXAMINER   |  | DATE CONSIDERED                     |                       |
|   |  | 1/21/06                             |                       |
| <p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>  |  |                                     |                       |